Dynamic Assets & Performance Monitoring



Swiss company est. in 2002

Entirely owned by management.

Specializing exclusively on consolidation and wealth supervision.



110 employees over 5 locations: Geneva, Lisbon, London, Miami, Singapore

soon: Dubai, Abu Dhabi

- 50% Financial Analysts
- 50% IT & programming

Deeply integrated into the global financial ecosystem. Interfacing with over 250 banks & custodians.



Our clients

Total assets CHF 200 bn

Types

70% Single Family Office & UHNWI's

Number of clients

95

20% Institutions

Sovereign Wealth Funds Trusts, Foundations, Corpo

10% Multi Family Office



	Geographies	Assets Median 500m
ices	60% Europe	70% between 100m and 1bn
orates	30% Middle East	20% above 1bn
es	10% Asia/Americas	10% below 100m





Why consolidation and supervision

Clarity & Professionalism in a Complex Financial Ecosystem

01 See everything in one place

Virtual global custody with no constraint on physical location.

02 Make informed decisions

Based on a real-time, complete, and holistic view of your assets, supported by a vast array of advanced analytical tools.

03 Achieve good governance

A central repository & audit trail of all your financial affairs, readily available to all relevant stakeholders.

A platform built for purpose 04

Do away with spreadsheets and ensure the integrity of all data.



Financial & **Non-Financial Assets**

Multiple Counterparts

Complex, Multilayered **Ownership Structures**

A unique offering

Our Meticulous Focus on Security and Data Accuracy Sets Us Apart

For 20 years DAPM has been fixated on combining the best of technology and workflow procedures to deliver the most robust offering on the planet.

Protecting Client Information

through pseudonymisation, anonymisation, redaction of documents and many other proprietary administrative protocols and safeguards.



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Data control and precision reporting

through the control and validation of every transaction, cashflow or movement, followed by constant reconciliations, control checks and 4-eye principals.





An End-to-End Service

Data Gathered from any Source

Digitized, Anonymized, and Secured

Validated, Controlled and Reconciled

Client Data Signature 4-eyes Data in any format continuous controls Transactions and Holdings \checkmark **Banks** Eletronic files Data feeds Paper **Brokers** \checkmark \checkmark **Real Estate Co's** \checkmark Asset managers Private Equity GPs Private Cloud Switzerland +Data encrypted at rest Market Data and in transit Prices and Reference Data Yearly penetration tests 1 Bloomberg, Refinitiv, IHSMarkit, Π MSCI and others

Our workflow

One Platform, Consolidated Insights

+

Exceptional, White Glove Service

- Daily Transaction input and reconciliation
- Continuous control on prices, fees and taxes
- Flag and escalate anomalies
- Reconciliation to bank statements

24/7 Platform Access and Bespoke Reporting



Dedicated Team of Seasoned Financial Analysts



DAPM controls certified ISAE 3402 by PWC

Accepting Clients/Client On-Boarding

Complete and authorized client documentation is received, and that client accounts and structure are set up completely, accurately and timely in the consolidation system in accordance with clients' agreements.

Data Input & processing

Client's counterparties data (irrespective of source i.e. feed, paper, e-mail/e-banking) is inputted into the consolidation system against the relevant portfolio in a complete, accurate and timely manner.

Instrument setup, maintenance & pricing

Instruments' creation are authorized and established in a complete, accurate and timely manner and that securities prices are validated and updated completely, accurately and in a timely manner.

Anonymization & encryption

Data allowing identification of client is masked, encrypted and restricted to select members of DAPM.

Cash & instrument reconciliation

Client's cash and instruments positions are completely and accurately recorded and reconciled to the client's counterparties.



Client reporting

Controls provide reasonable assurance that the clients' reports reflecting cash and instrument positions are provided in a complete, accurate and timely manner.

Physical access

Physical access to the building, computer equipment and storage media is limited to authorized individuals.

Logical access

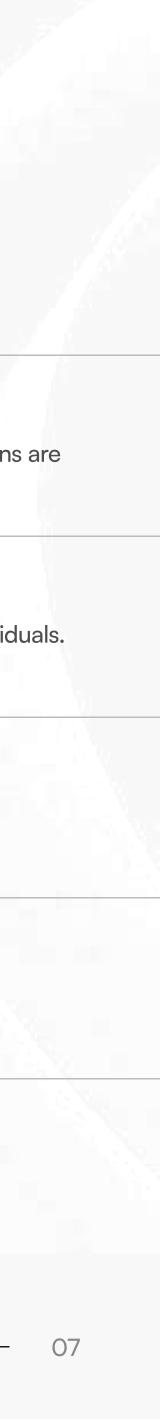
Logical access to programs and data is restricted.

System operations & back-up

IT processing is authorized and monitored, and that critical data is backed up regularly.

System changes

The development of, and changes to, core (anonymization & consolidation) applications are properly authorized, tested and documented.



Appropriate technical and organizational measures to ensure the protection of client data



Confidentiality

Data anonymization & use of pseudonyms

Data at rest & data in transit using at least AES 256 encryption algorithms

Clear separation of duties & principle of least privileges for all teams

Access to critical & sensitive servers is highly restricted & monitored through a Privileged Access Management solution

Integrity

Input control — System audit, event logging and related monitoring procedures are in place

Intrusion prevention, detection, and vulnerability scanning

Vulnerability assessment, patch management, threat protection technologies and scheduled monitoring procedures designed to identify, assess, mitigate and protect against identified security threats, viruses and other malicious code.

Availability

Private cloud/DAPM owned servers hosted is fully redundant banking grade data-centres in Switzerland (Geneva and Zurich)

Creation and safekeeping of backups

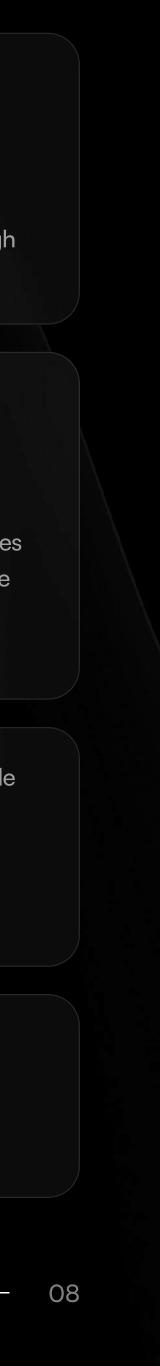
Business continuity

Resilence

Employees training (security awareness training by Knowb4)

Penetration testing

Security & privacy guidelines refreshed annually and deployed



DAPM's Signature Strengths

Independent

A non-conflicted, 3rd party, with insights into the inner workings of the financial ecosystem.

Confidentiality & Data security



Cutting edge technology

A system built from scratch, focused specifically on the wealth management sector and real client needs.



Established leader

Selected by major global banks as their preferred provider following extensive due diligence processes.

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Resources & Expertise

A full end-to-end service. Leverage off an experiencedand dedicated middle-office team.





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